



Transportation Penny Advisory Committee Meeting

Monday, January 25, 2016 @ 5:30 PM

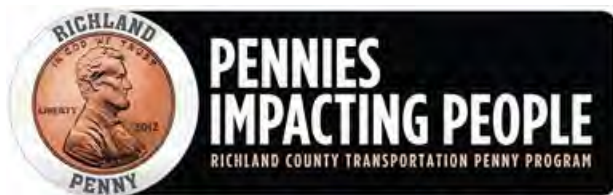
4th Floor Training Room

2020 Hampton Street, Columbia SC 29202

Agenda

- 1. Call to Order:** Hayes Mizell, Chairman
- 2. Election of Officers (Chair, Vice Chair, Secretary)**
- 3. Adoption of the Agenda**
- 4. Approval of Minutes:**
 - a) November 23, 2015: [Pages 2 – 4]
- 5. Discussion of SC Department of Revenue Audit [Pages 5 – 18]**
- 6. Discussion of Councilman Rose's and Councilman Jackson's January 12, 2016 motions as discussed in Transportation Ad Hoc committee January 19, 2016. [Pages 19 – 22]**
- 7. Update on Council Actions**
- 8. The Comet Update**
- 9. SLBE/OSBO Program Update [Pages 23 – 40]**
- 10. December 2015 Progress Report: Questions and Answers**
- 11. City of Forest Acres request for new project to be added to Penny Program [Pages 41 – 42]**
- 12. Other Business**
- 13. Next Scheduled Meeting:**
 - a) Monday February 22, 2016 @ 5:30 PM –2020 Hampton Street
- 14. Adjourn**

**TRANSPORTATION PENNY ADVISORY COMMITTEE MEETING
MONDAY, NOVEMBER 23, 2015
2020 HAMPTON STREET, 4TH FLOOR CONFERENCE ROOM**



In accordance with the Freedom of Information Act, a copy of the agenda was sent to radio and TV stations, newspapers, persons requesting notification, and was posted on the bulletin board located in the lobby of the County Administration Building.

MEMBERS PRESENT: Hayes Mizell, Trevor Bowers, Todd Avant, Carol Kososki, Natalie Britt, J. T. McLawhorn, Bill Wiseman and Dorothy Sumter

OTHERS PRESENT: Rob Perry, Chris Gossett, Tony McDonald, Shawn Salley, Michelle Onley, Tony Edwards, Cheryl Patrick, Brenda Parnell, and Kristen Hutto

CALL TO ORDER

The meeting was called to order at approximately 5:30 p.m.

APPROVAL OF MINUTES

September 28, 2015 – Mr. Bowers moved, seconded by Ms. Kososki, to approve the minutes as distributed. The vote in favor was unanimous.

SLBE/OSBO PROGRAM UPDATE

Ms. Patrick stated there are now 79 certified SLBEs.

THE COMET UPDATE

Dr. Schneider stated of the approximately 1,200 bus stops, 486 do not have lighting. That equates to approximately 1/3 of the stops. When choosing a stop location lighting is very important and is one of the criteria taken into account.

- 2.1 million passenger trips
- 35% increase in ridership for the past 6 months
- More than doubled ridership since 2012
- Working to engage millennial ridership (i.e. colleges, Columbia Housing Authority)
- DSS has increased their purchases
- Introduced ½ pass program (½ price for Seniors, Medicaid recipients, etc.)

OCTOBER 2015 PROGRESS REPORT: QUESTIONS AND ANSWERS

Mr. Gossett stated:

- ✦ Council approved the typicals for Atlas Rd., Bluff Rd. and Clemson Rd.
- ✦ Council approved design contracts for Bluff Rd. Phase II. It is a \$1.3 million contract with Parrish & Partners, which is a SLBE. The contract has 22.1% DBE participation and 82.3% SLBE participation.
- ✦ Contract signed with Holt Consulting for the design of the Clemson Rd. Project. Holt is also a SLBE. The contract is approximately \$900,000 with 18.9% DBE participation and 56.8% SLBE participation.
- ✦ Bids have been received for Joustler Rd., which is a sheltered market project. The recommendation to award letter from PDT will be forwarded to Council next week.
- ✦ Bids have been received for sidewalk project S-1, which is also a sheltered market project. The recommendation will go to Council for approval next week.
- ✦ The Dirt Road Management Team, Dennis Corporation has identified 7 dirt roads in the program that do not need any right-of-way. Those dirt roads will be advanced to the head of the design list to get them under construction.

Ms. Kososki stated she was thrilled to hear the Lincoln Tunnel is moving ahead.

STATE OF THE PENNY

The State of the Penny will be on Monday, January 25, 2016 at 6:00 in Council Chambers.

- ✦ Mr. Perry, Mr. Mizell and Dr. Schneider will give brief remarks.
- ✦ Invite Economist to discuss the economic impact of the Transportation Penny.
- ✦ Provide benchmarks for the Penny Program and the COMET
- ✦ Financial analysis/report for the public.
- ✦ Dept. of Revenue has conducted an audit of the Transportation Program.

**Transportation Penny Advisory Committee
November 23, 2015
Page Three**

OTHER BUSINESS

No other business was discussed.

NEXT MEETING: MONDAY, JANUARY 25, 2016 AT 6:00 PM – COUNCIL CHAMBERS

ADJOURN

The meeting adjourned at approximately 6:01PM

State of South Carolina
Department of Revenue



NIKKI R. HALEY
Governor

RICK REAMES III, ESQUIRE
Director

300A OUTLET POINTE BOULEVARD
POST OFFICE BOX 125
COLUMBIA, SOUTH CAROLINA 29214
Telephone: (803) 898-5040
Facsimile : (803) 896-0023
Director@dor.sc.gov

December 3, 2015

Mr. W. Anthony McDonald
Richland County Administrator
2020 Hampton Street, Room 4058
Columbia, SC 29202

RECEIVED
2015 DEC -1 11:10:13
RICHLAND COUNTY
ADMINISTRATORS OFFICE

RE: Richland County Penny Transportation Program

Dear Mr. McDonald:

As you know, on April 15, 2015, the South Carolina Department of Revenue (the "Department") initiated a review of the Richland County Penny Transportation Program (the "Penny") for the period May 1, 2013 – October 31, 2015. The Department appreciates Richland County's cooperation throughout the review. The purpose of this letter is to update you on the matter. The review has given rise to three general areas of concern.

First, the manner in which the Project Development Team (the "PDT") was procured, including but not limited, to Council's adoption of exemptions from established procurement procedures, and certain payments by or to the PDT raises questions of potential public corruption and fraud. Public corruption and fraud are beyond the scope of the Department's mission and, therefore, the Department has referred these matters to law enforcement.

Second, the Department has discovered multiple instances of illegal activity by individuals and/or companies associated with the Penny Program. These activities are within the scope of the Department's mission, and the Department is proceeding with these cases as is appropriate.

Finally, certain expenditures appear to fall outside the parameters of both the transportation tax statutes and the Richland County ordinance authorizing the program. Additional details regarding these areas of concern are outlined below.

Expenditures Outside the Transportation Tax Statute and Ordinance

Small Local Business Enterprise (SLBE) Program

Despite clear indication by both the referendum and ordinance that Penny revenue expenditures be limited to specific transportation-related projects, records outline Council's use of Penny revenue to fund the County's Small Local Business Enterprise (SLBE) program.

Council passed an ordinance creating the SLBE initiative in September 2014 to "provide a race and gender neutral procurement tool...to ensure that all segments of its local business community have a reasonable and significant opportunity to participate in County contracts for construction, architectural & engineering services, professional services, nonprofessional services, and commodities." Rich. Cnty. Code §2-639(a).

While this expressed purpose may be laudable, it falls outside the legally permitted expenditures established by the Penny referendum and ordinance because the SLBE is a countywide program applying to all facets of county operations – not just Penny expenditures. Therefore, the expenditures are not specifically for transportation projects as required by law.

An estimated \$619,457 in Penny revenue appears to have been used as the exclusive funding source for the SLBE personnel and program start-up costs outlined below.

\$219,378	in estimated personnel costs
\$250,231	in attorney fees to draft ordinance
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These figures are from the audit period which was limited to the first 24 months of collections of the Penny Program. The Penny Program is scheduled for a 22-year term, and many of the recurring expenditures related to the SLBE program will likely increase over time.

In addition, the estimated personnel costs represent the annual salary cost for five full-time employees hired by Council to work exclusively for the SLBE, including: two Certification and Compliance Specialists; Assistant Procurement Director; Outreach Specialist; and Education Specialist. It should be noted that this estimate is based on annual salaries. As positions were filled at various points during the year, the full annual salary expenditure may not be relevant for all five positions.

Public Information Services

The PDT contract awards \$3 million over a period of five years for public information services. Two firms each receive monthly payments of \$25,000, or \$300,000 per year, for a combined expenditure of \$600,000 per year for public information services. This includes personnel costs only and does not account for additional amounts to be paid to both firms as reimbursement for such items as brochures, mailings, business cards, website maintenance, catering, mileage, and computer and cell phone allowances.

Firm invoices offer a breakdown of employee hours spent on public information activities related to the Penny Tax. One of the firms purportedly has one full-time employee dedicated to Penny Tax projects. One additional person from each firm records an average of 10-15 hours per week for a combined total of approximately 20-30 hours per week. It is unclear exactly what work has been actually performed as no documentation detailing the work has been provided.

In effect, the Penny Tax program is paying \$50,000 per month, \$600,000 per year, and \$3 Million over five years (before reimbursements) for the equivalent of fewer than two full-time employees – when an entire public information office already exists within Richland County government and other PDT members also provide public relations services.

In comparison, according to US Bureau of Labor statistics, the annual mean wage for a Public Relations and Fundraising Manager in South Carolina is \$85,890; the mean wage for a Public Relations Specialist salary is \$49,200 per year. The total annual cost for both employees would be \$135,090, excluding taxes and benefits.

As outlined above, Council has misappropriated a significant amount of Penny revenue and is scheduled to spend millions of additional dollars over the next several years for expenditures falling outside the parameters of the transportation tax laws. The County should take action to correct these expenses both prospectively and by reimbursement for previously paid amounts.

Thank you again for Richland County's cooperation on this matter. Please call me if you have any questions or wish to further discuss these issues. Of course, the Department will be unable to comment on ongoing criminal investigations.

Yours very truly,



Rick Reames III
Director

RRIII/afw

CC: The Honorable David A. Adams, Richland County Treasurer
The Honorable Torrey Rush, Chairman, Richland County Council

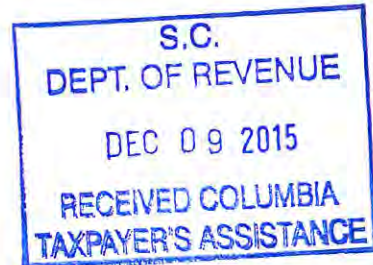


**RICHLAND COUNTY
GOVERNMENT**
Office of the County Administrator

December 9, 2015

VIA HAND DELIVERY

Rick Reames III, Esquire
Director
South Carolina Department of Revenue
300A Outlet Pointe Boulevard
Post Office Box 125
Columbia, South Carolina 29214



Re: Richland County Penny Sales Tax Transportation Program

Dear Mr. Reames:

We received your letter dated December 3, 2015, on Friday, December 4, 2015, regarding a South Carolina Department of Revenue ("DOR") review of Transportation Penny Sales Tax (the "Penny Tax") expenditures, which we understand commenced in April 2015.

We are both shocked and alarmed that DOR has found potential evidence of public corruption and fraud along with other illegal activities. As part of this response, we are requesting additional information regarding your findings such that we can immediately invoke measures to protect and preserve county money and assets. In addition, we want to make clear that Richland County stands ready fully to cooperate with any criminal investigation. Finally, upon further review, if Richland County has mistakenly used the Penny Tax to fund inappropriate expenses, the county will immediately reimburse the transportation tax fund.

The letter raises three areas of concern: 1) "...the manner in which the Project Development Team (the "PDT") was procured, including but not limited, (sic) to Council's adoption of exemptions from established procurement procedures, and certain payments by or to the PDT raises questions of potential public corruption and fraud"; 2) "...the Department has discovered multiple instances of illegal activity by individuals and/or companies associated with the Penny Program"; and 3) "...certain expenditures appear to fall outside the parameters of both the transportation tax statutes and the Richland County ordinance authorizing the program." We address these three areas of concern below.

We appreciate your acknowledgement of the County's cooperation in this matter, the County's intent is not just to cooperate within whatever your scope of authority may be, but to also provide whatever assistance it can regarding uncovering and addressing any potential criminal conduct. While we understand there may be limitations in what you may be able to discuss with us regarding your investigation, as addressed further below, we request the opportunity to meet with you, at the appropriate time, to better understand the allegations of criminal activity and how we can assist you in your investigation.

Further, if a criminal investigation is ongoing, please advise us if there are any matters, including matters addressed in your letter, that are intended to be confidential so as not to compromise the investigation.

1. PDT Procurement and Allegations of Corruption/Fraud

In addressing the manner in which the PDT was procured, the procurement and resulting contract complies with both the South Carolina Consolidated Procurement Code (“Procurement Code”) and the Richland County Ordinance, Article X, Procurement. The Council did not “exempt” the PDT from established procurement procedures but, as authorized under State law, it established a procurement procedure applicable to certain procurements funded by the Penny.

The Procurement Code requires that political subdivisions, such as the County, “shall adopt ordinances or procedures embodying sound principles of appropriately competitive procurement”. S.C. Code Ann. § 11-35-50 (Supp. 2014). The Procurement Code also expressly allows the Board¹ of the State Fiscal Accountability Authority to exempt any purchase from the Procurement Code. The Procurement Code provides:

upon the recommendation of the designated board office, may exempt governmental bodies from purchasing certain items through the respective chief procurement officer's area of responsibility. The board may exempt specific supplies, services, information technology, or construction from the purchasing procedures required in this chapter

S.C. Code Ann. § 11-35-710 (Supp. 2014) (emphasis added).

Richland County Ordinance, Article X prescribes the County purchasing procedures (“Procurement Ordinance”). Section 2-598 provides, in pertinent part:

(a) All public purchases shall be made in a manner which provides for the greatest economy for the taxpayer, the fairest selection of vendor, and the prevention of conflicts of interest. Towards this end, it shall be the policy of the county that, whenever practical, leases, goods, and services required by county agencies shall be procured through a competitive purchasing policy which may be achieved through competitive bidding or through requests for proposals; provided, however, contracts that are specifically approved by a county ordinance are exempt from the provisions of this Article.

If Council had desired to “exempt” solicitations funded by the Penny Tax from the Procurement Ordinance, it could have simply adopted an ordinance to that effect. Just as the Procurement Code authorizes the board to exempt a purchase from the purchasing procedures, Section 2-598, authorizes the Council to exempt ANY contract from the County purchasing procedures. Therefore, contrary to the inference raised in your letter, Council could have exempted the PDT from the Purchasing Ordinance totally and been in compliance with Article X.

¹ Effective July 1, 2015, “Board” means the board of the State Fiscal Accountability Authority.

Instead of exempting the PDT acquisition from the Procurement Ordinance, the Council adopted an ordinance (Section 2-591) to be used only for purchases deemed significant by the Council and when only proceeds of the Penny Tax are used in conjunction with a competitive solicitation ("Penny Ordinance"). The Penny Ordinance requires competition. Under the Penny Ordinance, the County Administrator appoints an evaluation committee composed of persons he deems qualified to evaluate proposals received pursuant to the solicitation. The evaluation committee reviews the proposals and sends a short list (not to exceed 8) to Council for decision. While Council can make the final determination to whom it may award a particular contract, it may only choose a contractor after the offerors have gone through a competitive procurement process and are deemed qualified by the evaluation committee. This process under the Penny Ordinance is used for professional services otherwise acquired through Sections 2-600 and 2-601, services acquired through competitive sealed proposals. The process used by the County is quite like the process used by the State under the Procurement Code to hire architectural and engineering services.

In addition to what we perceive as your concern about the legislative action taken by Council in adopting Section 2-591, you also advise that "certain payments by or to the PDT [raise] questions of potential public corruption and fraud". From your letter, we cannot tell whether you are concerned about payments before contract award or after contract award and implementation of the program, or both. We would appreciate the opportunity to meet with you, to discuss these concerns, and to offer whatever assistance we can.

You also state that "[p]ublic corruption and fraud are beyond the scope of the Department's mission and, therefore, the Department has referred these matters to law enforcement." Again, the County wishes to cooperate fully with all law enforcement officials and in any investigation.

2. Allegations of Illegal Activity

As to the second concern expressed in your letter involving the alleged "multiple instances of illegal activity by individuals and/or companies associated with the Penny Program", you advise that the "activities (described therein) are within the scope of the Department's mission, and the Department is proceeding with these cases as is appropriate." The County stands ready and willing to provide any additional information or assistance to DOR that it can.

3. Expenditures Outside the Scope of Transportation Tax and Penny Tax Laws

Regarding the last area of concern that "expenditures appear to fall outside the parameters of both the transportation tax statutes and the Richland County ordinance authorizing the program", you indicate on page 3 of your letter that "Council has misappropriated a significant amount of Penny revenue and is scheduled to spend millions of additional dollars over the next several years for expenditures falling outside the parameters of the transportation tax laws." We read this statement, your concern and the use of the word "misappropriated" to mean that Council has used Penny Tax revenue to pay for staffing, goods and services outside

the scope of the Penny Ordinance and state statutes, and not that any funds have monies have been stolen or embezzled. Please advise us if our understanding is incorrect.

Specifically, you express concern that since the County's Small Business Local Enterprise ("SLBE") program is a county-wide ordinance, its development and implementation should not have been funded "entirely from Penny funds". You are correct that the SLBE will ultimately be utilized county-wide. Rather than expand its mission in an *ad hoc* fashion through potentially numerous legislative amendments, however, the County established its SLBE Program to be flexible and scalable enough to accommodate all endeavors and enterprises that small local business may undertake now and in the future. To date, the SLBE Program has been applied only to procurements through the Penny Tax. Additionally, the SLBE Program has not been funded entirely with Penny Tax revenue. For example, renovations and up-fit costs for the SLBE offices have been funded through county general bond proceeds.

The County's long-term plan is for the SLBE Program to support other undertakings in addition to Penny Tax projects, but, to-date, the Program has been used for Penny Tax projects, with *de minimis* non-transportation expenses potentially embraced in the start-up costs of the Program. We welcome the opportunity to discuss Penny Tax expenditures regarding the SLBE and to provide you with any information you seek concerning these expenditures and the source of the funding. The County is certainly willing to reconcile any Penny Tax spending through general fund reimbursement as part of the reconciliation process continually undertaken through the County audit process.

We would appreciate the opportunity to meet with you to understand what matters and in what amounts DOR believes that Penny Tax revenues may have been used for non-transportation projects or the support thereof. This will help us ensure that each funding source - Penny Tax revenue and the County's general fund - are accurately maintained.

Regarding Public Information Services, the County is committed to keeping the public informed of transportation projects, as well as receiving public input on Penny Tax programs. While DOR might question the amount of expenditures dedicated to Public Information Services, which Richland County will review, we are confident that using proceeds of the Penny Tax to generate public engagement is well within the scope of the ordinance and applicable state law.

With regard to the allegation of public corruption, fraud, and illegal activities, as we stated earlier, we are very concerned that the allegations are that county money and/or assets are being compromised. The county must address this immediately. Accordingly, we respectfully request the following information so that we can better address these allegations and take appropriate action to protect the taxpayers of Richland County:

1. The letter addresses "certain payments by or to the PDT". Please clarify whether these payments are:

a) before or after the PDT contract award;

b) made by the county to PDT members and, if so, to whom the payments are made and the nature of the payments;

c) made by the PDT member(s) and, if so, the name of the PDT member and to whom the payment was made at the county, including employees and/or elected officials; and

d) were the payments made as part of the PDT program?

2. The letter indicates that the matters involving the procurement procedures and the PDT payments have been referred to law enforcement. Please identify the law enforcement agency that these issues have been referred to.

3. The letter states that DOR “has discovered multiple instances of illegal activity by individuals and/or companies associated with the penny tax”. Please identify the specific illegal activities you are referring to and the individuals/companies involved in such activities. If such activities involve illegal payments made from county funds or any other unauthorized use of county funds, please indicate the amounts involved.

4. Are other state, federal, or county agencies involved in this investigation? If so, please specify the agencies involved.

5. The letter states that Council’s adoption of Section 2-591 (the Penny Tax procurement ordinance) provided exemptions from established procurement procedures which were not proper. Richland County employed independent counsel to draft the procurement ordinance. We would respectfully request that you provide the following information so that we can fully understand DOR’s concerns.

a) Does DOR contend that there is a problem with the legislative procedure used to adopt the ordinance enacting section 2-591, e.g. insufficient number of readings, etc. and, if so, what is the problem(s) specifically and what is the legal basis for that problem(s)?

b) Does DOR contend that the Council does not have the legal authority to adopt the ordinance and if so, what is the legal basis of that contention?

c) Does DOR contend that Council cannot adopt additional methods of procuring county goods and services and, if so, what is the legal basis for that contention?

d) Does DOR contend that Council lacks legal authority to exempt a procurement from the county procurement processes and if so, what is the legal basis for that contention?

e) Has the adoption process of the procurement ordinance been referred to law enforcement?

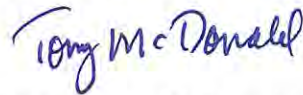
6. The letter states that DOR is concerned with “the manner in which the Project Development Team (the ‘PDT’) was procured”. Please identify the concerns that DOR has regarding the manner in which the [PDT] was procured and provide the legal basis for those concerns.

To reiterate, the answers to these questions are critical to our ability to protect the county from further loss. We respect the need for confidentiality in the investigation of criminal matters and we will work with DOR to insure that confidentiality is maintained.

With regard to the expenditures that DOR asserts are outside of the scope of the transportation tax and the ordinance, the County is currently researching these expenditures and will respond to your concerns upon completion of our review.

We would appreciate a prompt response to the above questions as time is of the essence. We look forward to working with you to resolve all matters identified in the audit.

Sincerely,

A handwritten signature in blue ink that reads "Tony McDonald". The signature is written in a cursive, slightly slanted style.

W. Anthony McDonald
County Administrator

Cc: Hon. Torrey Rush
Hon. David Adams



RICHLAND COUNTY GOVERNMENT

Office of the County Administrator

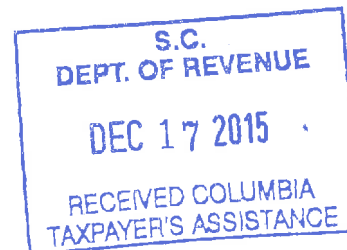
RECEIVED
2015 DEC 17 PM 12:06
SLED OFFICE OF THE CHIEF

HAND DELIVERED

December 17, 2015

Rick Reames, III, Esquire
Director
South Carolina Department of Revenue
300A Outlet Pointe Boulevard
Columbia, SC 29214

Mr. Mark Keels
Chief
South Carolina Law Enforcement Division
4400 Broad River Road
Columbia, SC 29210



Re: Richland County Penny Sales Tax Transportation Program

Dear Mr. Reames and Mr. Keels:

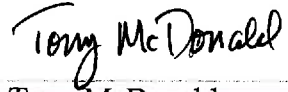
As I am sure you can appreciate, Richland County is deeply concerned about the serious nature of the Department of Revenue's letter, attached, of December 4, 2015, expressly stating that there is potential public fraud and corruption and other illegal activities by individuals and/or companies associated with the Penny Sales Tax.

While we have no desire to compromise your criminal investigation(s) in any way, the express, but general, allegations of wrongdoing have the effect of alerting us to serious problems without giving us any specifics about what the problems are, or how they may exist either internal to Richland County, or with any individuals or companies with whom the County may have current contracts or business dealings.

As such, we respectfully request that you proceed with the utmost speed on this matter to bring it to a resolution or to a point where you feel you can share enough information for the County to effectively respond. It is of great concern to us that we may have these activities ongoing in our midst but lack the necessary information for us to stop this conduct. In addition, the public is looking to us—quite understandably—for answers and action that we cannot give without first being informed of the specifics of what you have found. Critically, if this matter involves the illegal use of public funds, immediate actions need to be taken to prevent further loss.

Again, we respectfully request that you give this matter the highest priority relative to the serious issues you have raised to date in only general terms. Thank you very much for your prompt attention to this matter.

Very truly yours,



Tony McDonald
County Administrator

Enclosure

cc: Hon. Torrey Rush
Hon. David Adams

State of South Carolina
Department of Revenue



NIKKI R. HALEY
Governor

RICK REAMES III, ESQUIRE
Director

300A OUTLET POINTE BOULEVARD
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December 3, 2015

Mr. W. Anthony McDonald
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2020 Hampton Street, Room 4058
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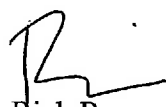
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Yours very truly,



Rick Reames III
Director

RRIII/afw

CC: The Honorable David A. Adams, Richland County Treasurer
The Honorable Torrey Rush, Chairman, Richland County Council

**Special Called Meeting
January 12, 2016 - 4:45 PM
Council Chambers**

Call to Order

- 1** The Honorable Torrey Rush

Approval of Minutes

- 2** a. Special Called Meeting: December 15, 2015 [PAGES 7-15]
b. Zoning Public Hearing: December 15, 2015 [PAGES 16-18]

Adoption of Agenda

- 3**

Election of the Chair

- 4**

Election of Vice Chair

- 5**

Selection of Seats

- 6 3.1 SEATING: At the first meeting in January after the election and seating of the Chair and Vice-Chair, Council members shall select their seats based on seniority in years of continuous service and then in alphabetical order.

LIVINGSTON
PEARCE
DICKERSON
JETER
JACKSON
MALINOWSKI
MANNING
WASHINGTON
ROSE
DIXON
RUSH

Other Item

- 7 Flood Recovery

Executive Session

- 8 Legal Briefing Update

Motion Period

- 9 a. Resolution in Support of "Stepping Up" to Reduce the Number of People with Mental Illnesses in Jails [WASHINGTON]

b. In light of recent events, it has become abundantly clear that changes need to be made related to the Transportation Penny. This Council has the duty, to the best of its ability, to procure, manage, and oversee the Transportation Penny with transparency, fiscal responsibility and without even the appearance of impropriety. As such, I make the following motions:

1. I move that the Significant Purchase Ordinance (Richland County Code Section 2-591) be immediately repealed in its entirety.

2. I move that the Transportation Advisory Committee ("TPAC"), be renamed the Citizens' Transportation Advisory and Oversight Committee, that it be codified in the Richland County Code of Ordinances, that its Chair be an ex officio member of the Transportation Ad Hoc Committee, and that its purpose and duties be amended as follows:

Purpose: To foster an objective and transparent oversight of the Transportation Penny program and expenditures, the Committee shall review expenditures to ensure the tax is being expended in accordance with projects list and Transportation Penny ordinance, and shall make recommendations regarding the Transportation Penny to Council.

Duties:

a) Advisory Duties

i. The Committee shall provide a recommendation on any modification to the projects list not consistent with the generic description of the project(s) (i.e. the addition of new projects not currently on the projects list; etc.). Any modifications to the projects list consistent with the generic description of the project(s) shall not require a recommendation of the TPAC (i.e. minor revisions to a project on the projects list not impacting the overall scope of the project).

ii. The Committee shall recommend any reordering of the prioritization (if applicable) of the projects list.

iii. The Committee shall annually review and make recommendations regarding the Comprehensive County Transportation Improvement Program (“CTIP”).

iv. The Committee shall review all Public Information Displays and Handouts and recommend changes, as applicable.

v. The Committee Chair shall quarterly make a report/presentation to Council as to any findings and/or recommendations regarding the Transportation Penny.

vi. Nothing herein shall give the Committee any right to direct staff, approve contracts or project lists, or define the scope of any project; such authority remains within the purview of the Council or professional staff.

b) Oversight and Reporting Duties

i. Receive and review monthly expenditure reports provided by the County and/or the PDT to ensure compliance Transportation Penny ordinance. The Committee may at any time request copies of all monthly invoices for Transportation Penny expenditures. The Committee further has the authority to refer any potential discrepancies to the Richland County Internal Audit Committee for review and report.

ii. Receive and review all executed contracts to be paid from Transportation Penny money, and report any problems, issues, or discrepancies to the Richland County Internal Audit Committee or Council, as applicable.

iii. Prepare and present to Council an annual audit, or if the County has conducted an independent audit, review such audit and present its findings to Council.

3. Moving forward the SLBE program shall be funded from the Richland County General Fund, not the Transportation Penny tax, and all penny revenues already spent on the SLBE program shall be fully reimbursed to the Penny Transportation program.

held on the first and third Tuesday of each month at 6:00 P.M., unless otherwise scheduled by the Chair for good cause, with the consent of the majority of the Council members present.”

South Carolina Code of Laws Section 30-4-80, a part of the Freedom of Information Act, provides that “All public bodies...must give written public notice of their regular meetings at the beginning of each calendar year. The notice must include the dates, times, and places of such meetings.”

Based on the Freedom of Information Act and Council’s Rules, I move that County Council hold its regular meetings:

- 1) On the first and third Tuesday of each month except as hereafter follows;
- 2) There will be no regular Council meetings in August during Council’s recess;
- 3) Regular meetings scheduled for a day other than a Tuesday may be held and will be considered regular meetings provided they are listed among the dates below;
- 4) The time of regular meetings of Council shall be 6:00 P.M. Eastern time; and
- 5) The location of Council’s regular meetings shall be 2020 Hampton Street in Columbia, South Carolina (the County Administration Building), in Council Chambers, unless there is an unexpected manmade or natural occurrence that necessitates moving the meeting to another location within the Administration Building. For example, if we gather in this room and the power malfunctions, or if the heating or air conditioning presents an unreasonable environment, or some common sense reason why we might need to pick up and move to, for example, the 4th Floor large conference room, the spirit and intent of this motion is to allow enough flexibility to not have to cancel, postpone or reschedule a Council meeting if we have to move to another room in the same building for a legitimate reason that is foreseeable but not known at the time of this motion; and
- 6) Subject to the above, here are the dates of Council’s regular meetings for 2016: February 16, 2016; March 1, 2016; March 15, 2016; April 5, 2016; April 19, 2016; May 3, 2016; May 17, 2016; June 7, 2016; June 21, 2016; July 12, 2016; September 13, 2016; September 20, 2016; October 4, 2016; October 18, 2016; November 1, 2016; November 15, 2016; December 6, 2016; December 13, 2016 [MANNING]

f. Motion to reconsider the role of the Transportation Penny Advisory Committee (TPAC), the Penny Tax Citizen Watchdog group [JACKSON]

Adjournment



TRANSPORTATION PENNY ADVISORY COMMITTEE UPDATE

January 25, 2016

1. Office Overview

- Brenda Parnell was named Interim Assistant Director of Procurement, responsible for the OSBO/SLBE Division, effective January 11, 2016. Brenda has been with the County since September, 2014 as a Certification & Compliance Specialist in the OSBO. She was formerly with SCDOT for 27 years.
- Two sheltered market projects were issued, 2016 Resurfacing Project Package D and Sidewalk Package S-2, with SLBE participation goals at 95% and 100% respectively. Staff attended the mandatory pre-bid meetings on December 2. A Notice of No Award was issued on January 14 for the 2016 Resurfacing Project D. The low bid was above the \$250,000 threshold set by the SLBE ordinance for Sheltered Market projects. The project has been removed from the Sheltered Market program and added to the 2016 Resurfacing Package F which will be advertised at a later date. Sidewalk Package S-2 bids were received on December 16, 2015 and are currently being evaluated.
- OSBO Staff met with the Procurement Director and the Richland County Legal Department on November 30, 2015 to review the SLBE ordinance and suggest revisions.
- Staff developed 'Expansion Request Form' to facilitate the process of expanding an already SLBE certified firm's area of work as requested. (See Exhibit E)
- OSBO staff set the goals for 2016 Resurfacing Packages E & F and is in the process of setting the SLBE participation goal for the Transportation Penny Project "Shop Road Extension – Phase I".
- Staff attended the mandatory pre-bid meeting for the sheltered market project Sidewalk Package S-4 on January 13 at the Program Development Team Office; this project has a 100% SLBE participation goal.
- Procurement Director, Assistant Director, and staff met with representatives of the Program Development Team to discuss progress toward meeting the SLBE participation goal on the PDT's five year contract on January 13.
- Staff attended the Small & Minority Business Advisory Council meeting on January 14 at the Greater Columbia Chamber of Commerce to provide a brief status of the OSBO, gather information about other similar agencies' current events, and discuss the details of the Small & Minority Business Open House to be held January 25 at the Chamber.
- On January 14 staff attended a session of procurement training at the South Carolina Fiscal Accountability Authority.
- OSBO staff is polling certified firms to determine future training and professional development needs.

2. SLBE Certification Application Process

- Fifteen (15) applications were processed November 19, 2015 – January 25, 2016
- Three (3) applicants have not submitted the required documentation to proceed with the certification process

- Two (2) applicants are pending the completion of site visit
- Three (3) firms are under review for eligibility by OSBO staff
- Two (2) firms have applied and are under review for an expanded certified area of work
- Two (2) firms have been approved for an expanded certified area of work
- Three (3) firms have been approved for SLBE certification
- Three (3) firms have started the certification process but have not yet submitted a complete applications

3. SLBE Certification Program Overview

A) SLBE Firms by Industry Category

Certified SLBE Firms	
Construction Services	37
Professional Services	24
Non-Professional Services	3
Engineering Services	10
Architectural Services	5
Wholesale Operations	1
Total	80

B) Gender & Ethnicity of SLBE Firms

Ethnicity	SLBE Firms
African American	51 (63%)
Asian	2 (3%)
Caucasian	26 (33%)
Hispanic	1 (1%)

Gender	SLBE Firms
Male	56 (70%)
Female	24 (30%)

4. SLBE Contract Participation

- Forty one percent (33/80) of the SLBE firms are participating on a Richland County contract. Thirty seven percent (30/80) of the SLBE firms' participation is currently being counted for credit toward SLBE participation goals
- \$18,175,515.14 has been awarded to SLBE firms (for credit towards SLBE participation goals) and \$6,825,176.30 or 37.55% of those funds have been paid to SLBE firms (See Exhibit A)
- Approximately \$80,455,033 in Penny Tax Funds over twenty six contracts are currently being tracked by the OSBO in the B2GNow Compliance Management System (See Exhibit B)
- Performance and payment on nineteen of the twenty six contracts has begun (See Exhibit C)
- Of the five On-Call Engineering Team (OET) contracts, two are currently meeting their overall SLBE goals (See Exhibit D)



EXHIBIT A

Certified Firms with Awards & Payments

Each SLBE certified firm that has been awarded a contract related to the Transportation Penny Tax Funds is listed with the total amount awarded and paid to date. If a firm was certified post-award of a contract, the firm is performing areas of work not certified by the SLBE program, or the firm's performance is being counted towards another type of participation goal, the firm's participation is not being counted for SLBE credit and the "(For SLBE Credit)" columns reflect those deductions.

Business Name	Total Awards	Total Awards (for SLBE Credit)	Total Payments	Total Payments (for SLBE Credit)
Banco/Bannister Company, LLC	\$385,023.00	\$385,023.00	\$306,840.78	\$306,840.78
Brownstone Construction Group, LLC	\$3,176,133.00	\$3,176,133.00	\$3,507,945.26	\$3,507,945.26
Campbell Consulting Group, LLC	\$325,110.00	\$325,110.00	\$330,624.56	\$330,624.56
Chao & Associates, Inc.	\$535,275.86	\$535,275.86	\$47,003.39	\$47,003.39
Civil Engineering Consulting Services	\$2,211,244.36	\$2,211,244.36	\$100,637.17	\$100,637.17
CMB CLEANING LLC	\$3,500.00	\$3,500.00	\$640.00	\$640.00
Construction Support Services, LLC	\$351,908.90	\$351,908.90	\$0.00	\$0.00
Corley Construction, LLC	\$218,060.00	\$218,060.00	\$29,077.84	\$29,077.84
Cox & Dinkins	\$1,704,308.25	\$1,704,308.25	\$465,952.03	\$465,952.03
Dennis Corporation	\$2,111,763.03	\$2,111,763.03	\$733,042.54	\$733,042.54
DESA, Inc.	\$73,143.95	\$73,143.95	\$0.00	\$0.00
Elite Concrete Contracting, LLC	\$83,428.48	\$0.00	\$18,518.26	\$0.00
Genesis Consulting Group	\$3,510.00	\$3,510.00	\$0.00	\$0.00
Haley Ray Pavement Markings	\$43,076.41	\$0.00	\$0.00	\$0.00
HOLT Consulting Company, LLC	\$376,934.00	\$376,934.00	\$9,924.00	\$9,924.00
iRealty International	\$79,341.00	\$79,341.00	\$32,400.00	\$32,400.00
J. B. Ladner & Associates, LLC	\$415,871.90	\$415,871.90	\$64,892.43	\$64,892.43
John Bowman Architect, PA, Inc.	\$12,000.00	\$12,000.00	\$6,000.00	\$6,000.00
Kenneth B. Simmons Associates, LLC	\$65,154.00	\$65,154.00	\$25,762.37	\$25,762.37
Mizzell & Associates, LLC	\$167,729.00	\$167,729.00	\$4,080.00	\$4,080.00
OLH, Inc.	\$782,054.00	\$782,054.00	\$523,783.70	\$523,783.70
Orion Construction Company, Inc.	\$250,000.00	\$250,000.00	\$0.00	\$0.00
P.J. Noble & Associates	\$557,690.32	\$557,690.32	\$100,010.37	\$100,010.37
Parrish & Partners	\$1,135,435.13	\$1,135,435.13	\$46,363.24	\$46,363.24
Premier Constructors, Inc.	\$1,339,978.30	\$1,339,978.30	\$42,322.12	\$42,322.12
S-2 Engineering & Consulting, LLC	\$10,000.00	\$0.00	\$10,000.00	\$0.00
Short Counts, LLC	\$4,350.00	\$4,350.00	\$0.00	\$0.00
Southern Vistas, Inc.	\$32,979.64	\$32,979.64	\$0.00	\$0.00
SRS Engineering, LLC	\$15,500.00	\$15,500.00	\$10,000.00	\$10,000.00
Taylor Brothers Construction Co.	\$51,337.50	\$51,337.50	\$51,337.50	\$51,337.50
The Dequincey Newman Law Firm	\$121,191.00	\$121,191.00	\$96,225.00	\$96,225.00
The LandPlan Group South, Inc.	\$39,482.00	\$39,482.00	\$32,312.00	\$32,312.00
The Tolleson Limited Company	\$1,629,507.00	\$1,629,507.00	\$258,000.00	\$258,000.00
TOTALS:	\$18,312,020.03	\$18,175,515.14	\$6,853,694.56	\$6,825,176.30



EXHIBIT B

Prime & Sub Contractors on Penny Tax Related Projects

A project is tracked by the OSBO when the work is in any way funded by the Transportation Penny Tax. Once all contractual documents are received, performance has begun, and the first payment has been issued, we begin tracking progress. This report is a summary of the eleven open contracts currently being tracked by the OSBO. For each contract there is a unique contract number and descriptive title.

Contract Number	Contract Description	Prime Contractor	Vendor Type	Subcontractor	For Credit	Contract Amount	Contract Goal Percent	Subcontract Award	Subcontract Percent
B1600880	McNair Law Firm	McNair Law Firm, P.A.	Prime	McNair Law Firm, P.A.	No	\$75,000.00	0.00%		
CN140005	RC-593-C-2014 (DRP Package B)	Lane Construction Corporation	Prime	Lane Construction Corporation	No	\$667,842.33	0.00%		
CN150002	RC-PW-601-2014 (DRP Package D)	Lane Construction Corporation	Prime	Lane Construction Corporation	No	\$760,547.74	0.00%		
CN150003	LNTP Contract - Admin	MB Kahn Construction Company, Inc.	Prime	MB Kahn Construction Company, Inc.	No	\$2,834,100.00	51.00%		
			Subcontractor	Banco/Bannister Company, LLC	Yes			\$85,023.00	3.00%
			Subcontractor	Brownstone Construction Group, LLC	Yes			\$569,843.00	20.11%
			Subcontractor	Campbell Consulting Group, LLC	Yes			\$0.00	0.00%
			Subcontractor	DAVIS & FLOYD, INC.	No			\$175,887.00	6.21%
			Subcontractor	Grice Consulting Group, LLC	No			\$36,980.00	1.30%
			Subcontractor	Hussey, Gay, Bell & DeYoung, Inc.	No			\$124,202.00	4.38%
			Subcontractor	ICA Engineering, Inc.	No			\$730,459.00	25.77%
			Subcontractor	iRealty International	Yes			\$28,341.00	1.00%
			Subcontractor	OLH, Inc.	Yes			\$170,046.00	6.00%
			Subcontractor	S-2 Engineering & Consulting, LLC	No			\$10,000.00	0.35%
			Subcontractor	The Dequincey Newman Law Firm	Yes			\$28,341.00	1.00%
Subcontractor	The Tolleson Limited Company	Yes			\$113,364.00	4.00%			
CN150010	2014 Resurfacing Project Package B RC-101-PT-1415	Sloan Construction Company Inc	Prime	Sloan Construction Company Inc	No	\$1,122,600.22	6.00%		
			Subcontractor	CAROLINA PAVEMENT MARKINGS, INC	Yes			\$11,176.00	1.00%
			Subcontractor	L.A. Barrier & Son, Inc.	Yes			\$56,183.00	5.00%
			Subcontractor	PROTECTION SERVICE INC	No			\$3,564.00	0.32%
			Subcontractor	Sanders Bros Construction Co., Inc.	No			\$45,090.66	4.02%
			Subcontractor	Taylor Brothers Construction Co.	Yes			\$100.00	0.01%
CN150012	2014 Resurfacing Project Package A RC-100-PT-1415	Sloan Construction Company Inc	Prime	Sloan Construction Company Inc	No	\$931,731.33	16.00%		
			Subcontractor	CAROLINA PAVEMENT MARKINGS, INC	Yes			\$3,413.00	0.37%
			Subcontractor	L.A. Barrier & Son, Inc.	Yes			\$42,683.00	4.58%
			Subcontractor	LCI-LINEBERGER CONSTRUCTION, INC.	Yes			\$107,754.50	11.56%
			Subcontractor	Protection Services, Inc.	No			\$3,672.00	0.39%

Contract Number	Contract Description	Prime Contractor	Vendor Type	Subcontractor	For Credit	Contract Amount	Contract Goal Percent	Subcontract Award	Subcontract Percent
CN150013	Dirt Road Paving Package E RC-608-CN-2015	C.R. Jackson, Inc.	Prime	C.R. Jackson, Inc.	No	\$533,211.94	8.20%		
			Subcontractor	L.A. Barrier & Son, Inc.	Yes			\$16,573.25	3.11%
			Subcontractor	Marshall Landscaping, Inc.	Yes			\$19,550.20	3.67%
			Subcontractor	P & L EROSION CONTROL ETC INC	Yes			\$7,155.75	1.34%
CN150014	Greene Street Phase I and Foundation Square PDT-319-IFB-2014	LAD Corporation of West Columbia	Prime	LAD Corporation of West Columbia	No	\$12,820,113.35	12.52%		
			Subcontractor	CMB CLEANING LLC	Yes			\$3,500.00	0.03%
			Subcontractor	Corley Construction, LLC	Yes			\$142,060.00	1.11%
			Subcontractor	Cox & Dinkins	Yes			\$120,000.00	0.94%
			Subcontractor	Haley Ray Striping, LLC d.b.a. Haley Ray Pavement Markings	Yes			\$43,076.41	0.34%
			Subcontractor	Harland Enterprises, Inc.	Yes			\$1,165.96	0.01%
			Subcontractor	L.A. Barrier & Son, Inc.	Yes			\$25,000.00	0.20%
			Subcontractor	PREMIER CONSTRUCTORS, INC.	Yes			\$1,339,978.30	10.45%
CN150017	2014 Resurfacing Project Package C PDT-1002-CN-2014	Carolina Bridge Co. Inc.	Prime	Carolina Bridge Co. Inc.	No	\$1,345,620.61	9.50%		
			Subcontractor	D M CONLON INC	No			\$102,647.50	7.63%
			Subcontractor	Elite Concrete Contracting, LLC	Yes			\$83,428.48	6.20%
			Subcontractor	L.A. Barrier & Son, Inc.	Yes			\$44,405.48	3.30%
			Subcontractor	Lindler's Construction of SC	No			\$8,110.00	0.60%
			Subcontractor	Medinas Hauling, Inc.	Yes			\$195,000.00	14.49%
CN160006	Design & Construction of Six Intersection Improvements	C.R. Jackson, Inc.	Prime	C.R. Jackson, Inc.	No	\$9,900,000.00	10.00%		
			Subcontractor	CIVIL ENGINEERING CONSULTING SERVICES, INC.	Yes			\$297,000.00	3.00%
			Subcontractor	Cox & Dinkins	No			\$341,550.00	3.45%
			Subcontractor	HERNDON INC.	Yes			\$29,700.00	0.30%
			Subcontractor	P & L EROSION CONTROL ETC INC	Yes			\$29,700.00	0.30%
			Subcontractor	P.J. Noble & Associates	Yes			\$19,800.00	0.20%
			Subcontractor	SOUTHERN CONCRETE & CONSTRUCTION INC	Yes			\$673,200.00	6.80%
			Subcontractor	THE SHARON COMPANY INC	Yes			\$9,900.00	0.10%
CN160007	Vista Greenway Phase Two (Lincoln Tunnel Greenway) PDT-139-CN-2015	AOS Specialty Contractors Inc.	Prime	AOS Specialty Contractors Inc.	No	\$1,230,330.18	18.50%		

Contract Number	Contract Description	Prime Contractor	Vendor Type	Subcontractor	For Credit	Contract Amount	Contract Goal Percent	Subcontract Award	Subcontract Percent
CPS13014	CDM Smith Contract Modification 2 Shop Road Extension Phase 1B	CDM Smith Inc.	Prime	CDM Smith Inc.	No	\$379,443.41	0.00%		
CPS15015	LNTP (RC-Q-2014-DRP)	Dennis Corporation	Prime	Dennis Corporation	No	\$302,813.30	98.00%		
			Prime Self Perform	Dennis Corporation	Yes			\$145,445.40	48.03%
			Subcontractor	J. B. Ladner & Associates, LLC	Yes			\$48,871.90	16.14%
			Subcontractor	Mizzell & Associates, LLC	Yes			\$4,080.00	1.35%
			Subcontractor	P.J. Noble & Associates	Yes			\$94,010.00	31.05%
			Subcontractor	Strategic Business Politics	No			\$8,478.77	2.80%
Subcontractor	The Tolleson Limited Company	Yes			\$3,886.00	1.28%			
CPS15027	Notice to Proceed: Program Management/Public Outreach/Design for the Dirt Road Paving Program	Dennis Corporation	Prime	Dennis Corporation	No	\$3,890,567.40	100.00%		
			Prime Self Perform	Dennis Corporation	Yes			\$1,968,278.00	50.59%
			Subcontractor	J. B. Ladner & Associates, LLC	Yes			\$367,000.00	9.43%
			Subcontractor	Mizzell & Associates, LLC	Yes			\$163,649.00	4.21%
			Subcontractor	P.J. Noble & Associates	Yes			\$395,676.00	10.17%
			Subcontractor	Strategic Business Politics	No			\$161,209.00	4.14%
Subcontractor	The Tolleson Limited Company	Yes			\$834,757.00	21.46%			
CPS15039	Atlas Road Widening - On Call Engineering Services Agreement RC-Q-2014-OET	Cox & Dinkins	Prime	Cox & Dinkins	No	\$1,952,335.64	78.10%		
			Prime Self Perform	Cox & Dinkins	Yes			\$810,738.00	41.53%
			Subcontractor	CASE CONSULTING INC	Yes			\$15,600.00	0.80%
			Subcontractor	Chao & Associates, Inc.	Yes			\$70,890.00	3.63%
			Subcontractor	CIVIL ENGINEERING CONSULTING SERVICES, INC.	Yes			\$207,418.44	10.62%
			Subcontractor	DAVIS & FLOYD, INC.	No			\$493,560.00	25.28%
			Subcontractor	F&ME CONSULTANTS, INC.	No			\$138,149.20	7.08%
			Subcontractor	John Bowman Architect, PA, Inc.	Yes			\$12,000.00	0.61%
Subcontractor	Kimley-Horn & Associates, Inc.	No			\$52,980.00	2.71%			
CPS16014	Service Order No. M&H #1	Mead and Hunt, Inc.	Prime	Mead and Hunt, Inc.	No	\$1,134,517.02	60.00%		
			Prime Self Perform	Mead and Hunt, Inc.	No			\$626,873.50	55.25%
			Subcontractor	Chao & Associates, Inc.	Yes			\$292,021.32	25.74%
			Subcontractor	DESA, Inc.	Yes			\$49,827.95	4.39%
			Subcontractor	F&ME CONSULTANTS, INC.	No			\$165,794.25	14.61%

Contract Number	Contract Description	Prime Contractor	Vendor Type	Subcontractor	For Credit	Contract Amount	Contract Goal Percent	Subcontract Award	Subcontract Percent
CPS16015	Service Order No. CECS #1	CIVIL ENGINEERING CONSULTING SERVICES, INC.	Prime	CIVIL ENGINEERING CONSULTING SERVICES, INC.	No	\$2,180,746.70	90.00%		
			me Self Perform	CIVIL ENGINEERING CONSULTING SERVICES, INC.	Yes			\$1,309,383.37	60.04%
			Subcontractor	Chao & Associates, Inc.	Yes			\$172,364.54	7.90%
			Subcontractor	Cox & Dinkins	Yes			\$281,020.25	12.89%
			Subcontractor	Kimley-Horn & Associates, Inc.	No			\$43,629.05	2.00%
			Subcontractor	P.J. Noble & Associates	Yes			\$48,204.32	2.21%
			Subcontractor	Parrish & Partners	Yes			\$189,943.05	8.71%
			Subcontractor	S&ME, Inc.	No			\$136,202.12	6.25%
CPS16017/B1 501160	Richland PDT, A Joint Venture M.B. Kahn Construction, ICA Engineering, Brownstone Construction Group (RC-Q-2014-PDT)	Richland PDT, A Joint Venture	Prime	Richland PDT, A Joint Venture	No	\$33,100,000.00	51.00%		
			Subcontractor	ARM Environmental Services, Inc.	No			\$3,600.00	0.01%
			Subcontractor	Banco/Bannister Company, LLC	Yes			\$300,000.00	0.91%
			Subcontractor	Brownstone Construction Group, LLC	Yes			\$2,606,290.00	7.87%
			Subcontractor	Campbell Consulting Group, LLC	Yes			\$325,110.00	0.98%
			Subcontractor	DAVIS & FLOYD, INC.	No			\$1,595,108.00	4.82%
			Subcontractor	Hussey, Gay, Bell & DeYoung, Inc.	No			\$131,300.93	0.40%
			Subcontractor	ICA Engineering, Inc.	No			\$3,794,006.32	11.46%
			Subcontractor	iRealty International	Yes			\$51,000.00	0.15%
			Subcontractor	MB Kahn Construction Company, Inc.	No			\$2,409,947.05	7.28%
			Subcontractor	OLH, Inc.	Yes			\$378,930.00	1.14%
			Subcontractor	OLH, Inc.	Yes			\$233,078.00	0.70%
			Subcontractor	Parrish & Partners	Yes			\$36,700.00	0.11%
			Subcontractor	Short Counts, LLC	Yes			\$4,350.00	0.01%
			Subcontractor	SRS Engineering, LLC	Yes			\$15,500.00	0.05%
			Subcontractor	The Dequincey Newman Law Firm	Yes			\$91,950.00	0.28%
			Subcontractor	The Dequincey Newman Law Firm	Yes			\$900.00	0.00%
Subcontractor	The Tolleson Limited Company	Yes			\$327,500.00	0.99%			
Subcontractor	The Tolleson Limited Company	Yes			\$350,000.00	1.06%			
CPS16019	Three Rivers Greenway: Saluda Riverwalk Phase I	Kenneth B. Simmons Associates, LLC	Prime	Kenneth B. Simmons Associates, LLC	No	\$99,194.00	0.00%		
			me Self Perform	Kenneth B. Simmons Associates, LLC	Yes			\$61,750.00	62.25%

Contract Number	Contract Description	Prime Contractor	Vendor Type	Subcontractor	For Credit	Contract Amount	Contract Goal Percent	Subcontract Award	Subcontract Percent
			Subcontractor	Architectural Design Associates	No			\$1,530.00	1.54%
			Subcontractor	Genesis Consulting Group	No			\$3,510.00	3.54%
			Subcontractor	Geo-Systems Design and Testing	No			\$3,000.00	3.02%
			Subcontractor	Greenpond Consulting	No			\$20,000.00	20.16%
			Subcontractor	John Ray Williams Associates	No			\$1,500.00	1.51%
			Subcontractor	Ozzie Nagler	No			\$4,500.00	4.54%
CPS16020	Service Order No. Holt #1	HOLT Consulting Company, LLC	Prime	HOLT Consulting Company, LLC	No	\$88,792.00	86.00%		
			Prime Self Perform	HOLT Consulting Company, LLC	Yes			\$18,766.00	21.13%
			Subcontractor	HDR Engineering Inc., of the Carolinas	No			\$30,544.00	34.40%
			Subcontractor	The LandPlan Group South, Inc.	Yes			\$39,482.00	44.47%
CPS16033	Service Order No. P&P #2	Parrish & Partners	Prime	Parrish & Partners	No	\$341,345.76	86.80%		
			Prime Self Perform	Parrish & Partners	Yes			\$165,813.74	48.58%
			Subcontractor	CIVIL ENGINEERING CONSULTING SERVICES, INC.	Yes			\$98,871.76	28.97%
			Subcontractor	Construction Support Services, LLC	Yes			\$31,604.60	9.26%
			Subcontractor	F&ME CONSULTANTS, INC.	No			\$17,361.88	5.09%
			Subcontractor	Infrastructure Consulting & Engineering, PLLC	No			\$27,693.78	8.11%
CPS16036	Service Order No. Holt #2	HOLT Consulting Company, LLC	Prime	HOLT Consulting Company, LLC	No	\$916,256.00	75.70%		
			Prime Self Perform	HOLT Consulting Company, LLC	Yes			\$358,168.00	39.09%
			Subcontractor	Construction Support Services, LLC	Yes			\$139,004.00	15.17%
			Subcontractor	DESA, Inc.	Yes			\$23,316.00	2.54%
			Subcontractor	E.S.P. Associates, P.A.	No			\$46,422.00	5.07%
			Subcontractor	F&ME CONSULTANTS, INC.	No			\$81,545.00	8.90%
			Subcontractor	Grice Consulting Group, LLC	Yes			\$10,949.00	1.19%
			Subcontractor	HDR Engineering Inc., of the Carolinas	No			\$256,852.00	28.03%
CPS16041	Service Order No. P&P #3	Parrish & Partners	Prime	Parrish & Partners	No	\$1,285,471.73	82.30%		
			Prime Self Perform	Parrish & Partners	Yes			\$578,408.42	45.00%
			Subcontractor	CIVIL ENGINEERING CONSULTING SERVICES, INC.	Yes			\$295,584.97	22.99%
			Subcontractor	Construction Support Services, LLC	Yes			\$161,533.30	12.57%

Contract Number	Contract Description	Prime Contractor	Vendor Type	Subcontractor	For Credit	Contract Amount	Contract Goal Percent	Subcontract Award	Subcontract Percent
			Subcontractor	F&ME CONSULTANTS, INC.	No			\$85,139.26	6.62%
			Subcontractor	Infrastructure Consulting & Engineering, PLLC	No			\$142,253.48	11.07%
			Subcontractor	Southern Vistas, Inc.	Yes			\$22,552.30	1.75%
CPS54321	Service Order No. P&P #4	Parrish & Partners	Prime	Parrish & Partners	No	\$218,238.03	78.10%		
			me Self Perform	Parrish & Partners	Yes			\$137,286.31	62.91%
			Subcontractor	CIVIL ENGINEERING CONSULTING SERVICES, INC.	Yes			\$2,985.82	1.37%
			Subcontractor	Construction Support Services, LLC	Yes			\$19,767.00	9.06%
			Subcontractor	F&ME CONSULTANTS, INC.	No			\$25,123.00	11.51%
			Subcontractor	Infrastructure Consulting & Engineering, PLLC	No			\$22,648.56	10.38%
			Subcontractor	Southern Vistas, Inc.	Yes			\$10,427.34	4.78%
CPSxxxx	Riverbanks Zoo Pedestrian Bridge	Rodgers Builders, Inc.	Prime	Rodgers Builders, Inc.	No	\$2,018,627.00	16.10%		
			Subcontractor	Corley Construction, LLC	Yes			\$76,000.00	3.76%
			Subcontractor	Orion Construction Company, Inc.	Yes			\$250,000.00	12.38%
CSV16030	Utility Agreement 1 Greene Street Phase 1 (PDT-319-IFB-2014)	South Carolina Electric & Gas	Prime	South Carolina Electric & Gas	No	\$325,587.00	0.00%		



EXHIBIT C

Active Contracts with Prime Payments

A project is tracked by the OSBO when the work is in any way funded by the Transportation Penny Tax. Once all contractual documents are received, performance has begun, and the first payment has been issued, we begin tracking progress. This report is a summary of the nineteen open contracts currently being tracked by the OSBO. For each contract we've included: the total contract value, the total amount paid to the prime contractor to date, the total amount paid to the subcontractors to date, the contractual goal set, and the current subcontractor participation rate.

Contract Number	Contract Description	Prime	Contract Value	Payments to Prime	Payments to Subs	Goal	Participation
B1600880	McNair Law Firm	McNair Law Firm, P.A.	\$75,000.00	\$36,647.32	N/A	0.0%	0.0%
CN140005	RC-593-C-2014 (DRP Package B)	Lane Construction Corporation	\$667,842.33	\$579,104.50	N/A	0.0%	0.0%
CN150002	RC-PW-601-2014 (DRP Package D)	Lane Construction Corporation	\$760,547.74	\$718,658.45	N/A	0.0%	0.0%
CN150003	LNTF Contract - Admin	MB Kahn Construction Company, Inc.	\$2,834,100.00	\$2,757,495.79	\$909,956.10	51.0%	33.0%
CN150010	2014 Resurfacing Project Package B RC-101-PT-1415	Sloan Construction Company Inc	\$1,122,600.22	\$1,083,954.49	\$100,862.79	6.0%	9.3%
CN150012	2014 Resurfacing Project Package A RC-100-PT-1415	Sloan Construction Company Inc	\$931,731.33	\$869,608.49	\$40,325.20	16.0%	4.6%
CN150013	Dirt Road Paving Package E RC-608-CN-2015	C.R. Jackson, Inc.	\$533,211.94	\$262,373.50	\$29,929.00	8.2%	11.4%
CN150014	Greene Street Phase I and Foundation Square PDT-319-IFB-2014	LAD Corporation of West Columbia	\$12,820,113.35	\$1,625,427.51	\$117,039.96	12.5%	7.2%
CN150017	2014 Resurfacing Project Package C PDT-1002-CN-2014	Carolina Bridge Co. Inc.	\$1,345,620.61	\$942,746.00	\$148,003.21	9.5%	15.7%
CPS13014	CDM Smith Contract Modification 2 Shop Road Extension Phase 1B	CDM Smith Inc.	\$379,443.41	\$369,465.00	\$0.00	0.0%	0.0%
CPS15015	LNTF (RC-Q-2014-DRP)	Dennis Corporation	\$302,813.30	\$301,731.22	\$295,211.22	98.0%	97.8%
CPS15027	Program Management/Public Outreach/Design for the Dirt Road Paving Program	Dennis Corporation	\$3,890,567.40	\$609,254.12	\$741,163.41	100.0%	121.7%
CPS15039	Atlas Road Widening - On Call Engineering Services Agreement RC-Q-2014-OET	Cox & Dinkins	\$1,952,335.64	\$674,817.95	\$425,180.20	78.1%	63.0%
CPS16014	Service Order No. M&H #1	Mead and Hunt, Inc.	\$1,134,517.02	\$152,041.87	\$47,003.39	60.0%	30.9%
CPS16017/B 1501160	Program Development Team (RC- Q-2014-PDT)	Richland PDT, A Joint Venture	\$33,100,000.00	\$11,790,235.96	\$4,695,759.79	51.0%	39.8%
CPS16019	Three Rivers Greenway: Saluda Riverwalk Phase I	Kenneth B. Simmons Associates, LLC	\$99,194.00	\$39,237.37	\$25,762.97	0.0%	65.7%
CPS16020	Service Order No. Holt #1	HOLT Consulting Company, LLC	\$88,792.00	\$63,905.50	\$42,236.00	86.0%	66.1%
CPS16033	Service Order No. P&P #2	Parrish & Partners	\$341,345.76	\$21,500.00	\$21,500.00	86.8%	100.0%
CSV16030	Utility Agreement 1 Greene Street Phase 1 (PDT-319-IFB-2014)	South Carolina Electric & Gas	\$325,587.00	\$275,218.31	\$0.00	0.0%	0.0%



EXHIBIT D

OET Master Contract & Task Order Detail / Rollup

This document combines the various task orders issued on each of the five On-Call Engineering Team (OET) contracts to show progress towards the overall goal. Each has a set SLBE participation goal that must be met over the five year term. The goal can be found by looking on the corresponding firm's table on the 'Master Contract' row in the 'Goal' column. The average SLBE participation of all of a particular firm's service orders is listed on the 'Entire Contract' row in the 'For Credit Percent' column.

RC-Q-2014-OET (Cox & Dinkins)

Category	Contract Number	Contract Value	Total Paid	Total Credit Paid	Goal	For Credit Percent
Task Order	CPS15039	\$1,952,336	\$674,818	\$425,180	78.10%	63.01%
Master Contract	RC-Q-2014-OET (C&D)	\$0	\$0	\$0	60.00%	0.00%
All Task Orders		\$1,952,336	\$674,818	\$425,180	78.10%	63.01%
Entire Contract		\$1,952,336	\$674,818	\$425,180	78.10%	63.01%

RC-Q-2014-OET (Civil Engineering Consulting Services)

Category	Contract Number	Contract Value	Total Paid	Total Credit Paid	Goal	For Credit Percent
Task Order	CPS16015	\$2,180,747	\$0	\$0	90.00%	0.00%
Master Contract	RC-Q-2014-OET (CECS)	\$0	\$0	\$0	89.00%	0.00%
All Task Orders		\$2,180,747	\$0	\$0	90.00%	
Entire Contract		\$2,180,747	\$0	\$0	90.00%	

RC-Q-2014-OET (HOLT Consulting)

Category	Contract Number	Contract Value	Total Paid	Total Credit Paid	Goal	For Credit Percent
Task Order	CPS16020	\$88,792	\$63,906	\$42,236	86.00%	66.09%
Task Order	CPS16036	\$916,256	\$0	\$0	75.70%	0.00%
Master Contract	RC-Q-2014-OET (HOLT)	\$0	\$0	\$0	86.00%	0.00%
All Task Orders		\$1,005,048	\$63,906	\$42,236	76.61%	66.09%
Entire Contract		\$1,005,048	\$63,906	\$42,236	76.61%	66.09%

RC-Q-2014-OET (Mead & Hunt)

Category	Contract Number	Contract Value	Total Paid	Total Credit Paid	Goal	For Credit Percent
Task Order	CPS16014	\$1,134,517	\$152,042	\$47,003	60.00%	30.91%
Master Contract	RC-Q-2014-OET (M&H)	\$0	\$0	\$0	69.00%	0.00%
All Task Orders		\$1,134,517	\$152,042	\$47,003	60.00%	30.91%
Entire Contract		\$1,134,517	\$152,042	\$47,003	60.00%	30.91%

RC-Q-2014-OET (Parrish & Partners)

Category	Contract Number	Contract Value	Total Paid	Total Credit Paid	Goal	For Credit Percent
Task Order	CPS15028	\$27,284	\$24,863	\$24,863	100.00%	100.00%
Task Order	CPS16033	\$341,346	\$21,500	\$21,500	86.80%	100.00%
Task Order	CPS16041	\$1,285,472	\$0	\$0	82.30%	0.00%
Task Order	CPS54321	\$218,238	\$0	\$0	78.10%	0.00%
Master Contract	RC-Q-2014-OET (P&P)	\$0	\$0	\$0	99.00%	0.00%
All Task Orders		\$1,872,339	\$46,363	\$46,363	82.89%	100.00%
Entire Contract		\$1,872,339	\$46,363	\$46,363	82.89%	100.00%



EXHIBIT E

Expansion Request Form

If a currently certified SLBE/ESLBE firm wishes to become certified in additional work areas, this form is the first step of the process. The expansion process allows a growing firm's certified area of work to grow with them without waiting until the time of certification renewal which only occurs every two years. It also ensures that our office has an accurate record of each firm's capabilities.



2000 Hampton Street
Columbia, SC 29204
(803) 576-1540

Small Local Business Enterprise Certification

Expansion Request Form

Firms who are already certified with Richland County's Office of Small Business Enterprise (OSBO) may request additional NAICS codes/services at any time. A certification officer will respond to the request and conduct an investigation focusing on the services being requested in the expansion. Begin by submitting this form along with the supporting documents noted below by mail, fax or email.

Firm Name

Point of Contact

E-mail Address

Phone

Area of Work

Current Approved NAICS Codes

Requested Additional NAICS Codes

Expansion Request

Describe the additional services your firm provides.

Attached Supporting Documentation

Professional licensing or certifications associated with the requested service(s)

Resume(s) of individuals who will be performing the requested service(s)

List of equipment used to perform the requested service(s)

Copies of contracts, proposals, or invoices verifying the performance of the requested service(s)

Written documentation of the owner(s) ability and/or the company's resources to perform the requested service(s)

By my signature below, I certify the information I provided on this form and all attached supporting documentation is true, accurate, and complete.

Signature

Date

Submit completed form and supporting documents by mail, fax, or email to:

PO Box 192 Columbia, SC 29202
(803) 576-1549
osbo@rcgov.us



5209 North Trenholm Road
Forest Acres SC 29206
803.782.9475



Frank J Brunson
MAYOR

COUNCIL
Ginger P Dukes
Curtis L Rye Jr.
W Shell Suber Jr.
Roy A Powell

C Lee Holloway Jr.
CITY ATTORNEY

Mark M Williams
CITY ADMINISTRATOR

January 6, 2016

Honorable Torrey Rush
Chairman
Richland County Council
2020 Hampton Street
Columbia, SC 29202

Dear Chairman Rush:

Thank you for responding to our previous inquiry about potential funding for previously unidentified transportation projects by the 1% Transportation Sales Tax.

Although funding for additional projects may not be imminent, should an opportunity eventually arise for consideration of additional projects, I would like to advise you that the City of Forest Acres has identified two significant road projects:

1. Implementation of at least some of the recommended improvements from a recently completed corridor study of Forest Drive, one of which is installation of adaptive traffic signals. Those alone are estimated to cost up to \$2,000,000. The entire range of corridor study recommendations for Forest Drive could well exceed \$20,000,000. Upon request I would be pleased to share with you and your staff a copy of the 2015 Forest Drive Corridor Study.
2. Forest Lake Place Bridge over Gills Creek. About five years ago this bridge failed an inspection by the SC DOT and although accepted for maintenance by Richland County, once condemned, the county's position was that maintenance did not include major rehabilitation or replacement. Return of this bridge to service will provide an alternate route to Trenholm Rd. for some Forest Drive traffic which bypasses that busy intersection. We have reason to believe that this bridge may be returned to passenger vehicle service for less than \$200,000. Obviously, replacement of the bridge with a new structure would be considerably more. We can provide you with additional information on this project as needed.



5209 North Trenholm Road
Forest Acres SC 29206
803.782.9475



Frank J Brunson
MAYOR

COUNCIL
Ginger P Dukes
Curtis L Rye Jr.
W Shell Suber Jr.
Roy A Powell

C Lee Holloway Jr.
CITY ATTORNEY

Mark M Williams
CITY ADMINISTRATOR

Again, thank you for your consideration of these important transportation issues. I will be most grateful if County Council will keep us apprised of funding availability for these projects, as we are confident they would prove valuable to residents of our respective jurisdictions.

Sincerely,

Frank J. Brunson
Mayor